

**Table 4: Personal data of Witness/Testimonial Providers**

<i>The information the University holds</i>	<i>What the University needs it for</i>	<i>Why the University processes it (i.e. the legal basis)</i>
Organisation	Data required by REF 2021 to be submitted on the REF3 form: “Case studies describing specific examples of impacts achieved during the assessment period (1 August 2013 to 31 July 2020), underpinned by excellent research in the period 1 January 2000 to 31 December 2020”. <b>Data will be submitted to REF as part of the University’s submission, November 2020.</b>	The University’s legitimate interest.
Position (where appropriate)	Data required by REF 2021 to be submitted on the REF3 form. Data will be submitted to REF as part of the University’s submission, November 2020. <b>Data will be submitted to REF as part of the University’s submission, November 2020.</b>	The University’s legitimate interest.
Name	Data required to be available to REF 2021 for audit purposes (corroboration of key claims made by the University in the REF3 form). <b>Data may be submitted to REF as part of the University’s submission, November 2020 and/or subsequently for the purposes of audit.</b>	The University’s legitimate interest.
Contact details	Data required to be available to REF 2021 for audit purposes (corroboration of key claims made by the University in the REF3 form). <b>Data may be submitted to REF as part of the University’s submission, November 2020 and/or subsequently for the purposes of audit.</b>	The University’s legitimate interest.

**Table 5: Third parties the University may share your data with**

<ul style="list-style-type: none"> <li>- Higher Education Statistics Agency HESA; see the collection notice at <a href="http://www.hesa.ac.uk/collection-notices">http://www.hesa.ac.uk/collection-notices</a>).</li> <li>- Research Councils, and other prospective and actual funders of research.</li> <li>- Government bodies that run the Research Excellence Framework (REF 2021), including the UK higher education funding bodies such as the Research England. Note that REF 2021 have published their own Privacy Notice <a href="https://www.ref.ac.uk/submission-system/privacy-notice/">which is available at: https://www.ref.ac.uk/submission-system/privacy-notice/</a></li> <li>- Individuals who exercise their legal right to access recorded information held by the University under information legislation, particularly the Freedom of Information Act 2000 and data protection law (General Data Protection Regulation (GDPR) and Data Protection Act 2018). The University will normally only disclose work-related or professional information about its members of staff and will inform or consult any members of staff concerned where disclosure would not reasonably be expected.</li> <li>- Other Higher Education Institutions (HEI) with which the University are in partnership for specific REF 2021 Unit of Assessment (UOA) Joint Submissions. In this instance, only data that will be submitted on the REF1a form (all non-special category data) for staff within a particular UOA would be shared with the partner institution for that UOA. Where necessary, formal agreements (Memoranda of Understanding and Data Processing/Sharing Agreements) will be developed with these institutions which will ensure the responsible use, storage and timely disposal of any Middlesex University staff personal data. These agreements will be in place before any personal data are shared with partner institutions.</li> </ul>
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